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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Hiroyuki NANSEI et al.**

Group Art Unit: **2822**

Serial Number: **10/643,967**

Examiner: **Toniae M. Thomas**

Filed: **August 20, 2003**

Confirmation Number: **4992**

For: **SEMICONDUCTOR MEMORY DEVICE AND METHOD FOR  
MANUFACTURING SEMICONDUCTOR DEVICE**

Attorney Docket Number: **030993**

Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. 1.97(c)(2)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Date: November 17, 2005

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. A copy of each non-U.S. document is attached. Also, attached is a copy of a Chinese Office Action dated July 1, 2005 with an English translation.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance. A check is attached hereto which covers the \$180.00 fee set forth in 37 C.F.R. §1.17(p).

11/18/2005 HALI11 00000159 10643967

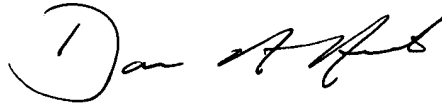
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Information Disclosure Statement under 1.97(c)(2)  
Attorney Docket No. 030993  
Serial No. 10/643,967

If there are any fees due in connection with the filing of this paper, please charge Deposit  
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Respectfully submitted,  
**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

A handwritten signature in black ink, appearing to read "Darrin A. Auito", written over a large, stylized capital letter "D".

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Enclosures: PTO/SB/08  
(1) foreign Document (attached & listed)  
(1) U.S. Document listed only  
Chinese Office Action dated July 1, 2005  
Check for \$180.00

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of

Complete if Known

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First Named Inventor	Hiroyuki NANSEI et al.
Art Unit	2822
Examiner Name	Toniae M. Thomas
Attorney Docket Number	030993

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 6,087,229		07-11-00	ARONOWITZ et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	JP4-184932		07-01-92	SONY CORP.	Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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